



# "Development of a concept for the EU-wide migration to a digital automatic coupling system (DAC) for rail freight transportation"

# Annex 3 to Final Report DAC Specification and Test Concept Version 1.01.

for the Federal Ministry of Transport and Digital Infrastructure (BMVI) Invalidenstraße 44 D-10117 Berlin Germany

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List of changes int	o the specification	from version	1.00 to version 1.01
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ID	Require ment classific ation	Requirement-text	Wertung	Rational e	Product element EN 15380-2	Status	Change since last release	Source	Comme nt of owner	Annex to require ment	Require ment type	
AUCO.1		Automatic couplers										
AUCO.2		1 General requirements for the automatic coupler										
AUCO.5	RE	The installation space according to UIC 530-1 annex 4a, is decisive for the design of the coupling in western Europe. For wagons from eastern Europe annexe 6a and 6 b are recommended.	Muss	General	SB	draft		TIS working group			General	last sentence added
AUCO.10	RE	The coupler head shall restrict a strength from 2.000 kN fo pressure forces without any plastic deformations. The coupler head shall restrict a strength from - 1.000 kN for draw forces - 2.000 kN for pressure forces without any plastic deformations (R <sub>P</sub> 0,2). <u>Note:</u> This requirement shall forestalling a destruction of the casing of the coupler head in case of a bounce. A nominal breaking point for loads above 1500 kN should be located in the drawbar.	Muss	General	SB	draft		TIS working group			General	last sentence of the note added and source changed from Shift2Rail to TIS working group
AUCO.11	RE	The wagon and the components of it shall fulfill TSI WAG, Chapter 4.2.5 Environmental conditions and at least the temperature class T1 to T3 (45°C to -45°C).	Muss	General	SB	draft		Shift2Rail			General	temperatur range corrected
AUCO. 171	RE	No conversions are permitted in this installation space	Muss	General	SB	draft		TIS working group			General	added
AUCO.172		Force transmission into the underframe shall be in accordance with UIC 530-1, Annex 1 to the tension and compression stops according to Section 5 or Annexes 4 and 6.	Muss	General	SB	draft		TIS working group			General	added
AUCO.15		2 Mechanical part										

AUCO.35	RE	The coupler head shall restrict a- strength from -1.000 kN for draw forces -2.000 kN fo pressure forces without any plastic deformations (R <sub>P</sub> -0,2). Note: In case of overload, the coupling head- should not be damaged A nominal- breaking point for loads above 1500- kN should be located in the drawbar.	Muss	<del>Safety, acc. to EN 12663- 2,</del>	<del>58</del>	<del>draft</del>	_	<del>Shift2Rail</del>		Strength	deleted, because doubled with AUCO. 10
AUCO.173	RE	For the application of automatic couplers, derailment safety according to EN 15839 shall be demonstrated for each vehicle type.	Muss	running safety	SB	draft		TIS working group		Safety	added
AUCO.174	RE	If the uncoupling device is a pneumatical devicew, in according to TSI WAG Annex C9 it's not allowed to take the pressure (air) from the main pipe.	Muss	Safety	SB	draft		TSI WAG		Safety	added
<b>AUCO.81</b>		3 Energy absorption system									
AUCO.91	RE	During coupling up to 12 km/h or maximum force of 2.000 kN when pushing 90 t against 80 t without any damage on the automatic coupler (and to the vehicle). Note: In the event of an accident or the application of a force greater than 2000 kN, an irreversible element shall protect the coupling head and the vehicle from damage. EN 12663 must be observed. The position of the irreversible element may be between the coupling head and the draw and buffing equipment.	Muss	Experience of operators	SB	draft		Shift2Rail		Strength, Damage prevention	Note added for better understanding
AUCO.96		4 Pneumatic connections of the automatic coupler									no changes
AUCO.105		5 Electrical and data connections of the automatic coupler (mechanical part)		-	-					-	no changes
AUCO.140		6 Safety									no changes
AUCO.144		7 General requirements (part of the system requirement specification)									no changes
AUCO.150		8 Hybrid coupler (special case)									

AUCO.159	RE	For new locomotives, the hybrid	Muss	Safety,	SB	draft	]	TIS working		requirement
		coupling must be designed so that it is		Experience				group		adapted to AUCO
		compatible with the installation space		of operators						5
		in accordance with UIC leaflet 530-1,								
		annex 4a, is decisive for the design of								
		the coupling in westeuropean								
		countries.								
		In easteuropean countries annexe 6a								
		and 6 h are recommended								

# #	16 11	21 18	63 53		52 20	<b>36</b> 14	<mark>21</mark> 14	21 16	<b>31</b> 14	21 19	21 19	21 20	
		te	chnical Specification automatic co	upler for freight	t wagons/	/ hybrid	dcoupl	ler for	locos (	versio	n V1.01)		
	ID	Requiremen t	Requirement-text	Wertung	Rationale	Product element EN 15380-2	Status	Change since last release	Source	Comment of owner	Annex to requirement	Requiremen t type	
	AUCO.1		Automatic couplers										
	AUCO.2		1 General requirements for the automatic coupler										
	AUCO.3	INFO	The AC shall be used exclusively for freight applications. It is not foreseen for passenger applications	Info	General	SB	draft		Shift2Rail			General	
	AUCO.4	RE	Hybrid coupler only The AC shall be compatible with the UIC wagon standard interfaces.	Muss (für Hybridkupplung)	General	SB	draft		Shift2Rail			General	
-	AUCO.5	RE	The installation space according to UIC 530-1 annex 4a, is decisive for the design of the coupling in western Europe. For wagons from eastern Europe annexe 6a and 6 b are recommended.	Muss	General	SB	draft		TIS working group			General	
-	AUCO. 171	RE	No conversions are permitted in this installation space	Muss	General	SB	draft		TIS working group			General	
-	AUCO.172		Force transmission into the underframe shall be in accordance with UIC 530-1, Annex 1 to the tension and compression stops according to Section 5 or Annexes 4 and 6.	Muss	General	SB	draft		TIS working group			General	
-	AUCO.6	INFO	The AC shall be temporary compatible with the UIC Screw Coupler. Note: The adapter shall be an "coupler- wagon", at one site with UIC- coupler, at the opposite site with an automatic coupling system	Info	General	SB	draft		Shift2Rail			General	
-	AUCO.7	INFO	Exception from this requirement (AUCO 5) can be made for wagons in isolated traffic operations	Info	General	SB	draft		Shift2Rail			General	
	AUCO.8	RE	The coupler assembly shall be as simple as possible, but robust.	Muss	General	SB	draft		Shift2Rail			General	
	AUCO.9	RE	The weight of the coupler shall be minimized. <u>Note</u> : The weight of the AC shall not be more than the UIC Couplingsystem (2 Buffers, screw-coupler and draw hook inclusive draw-gear) At least the coupler shall weight less equal 370-380 kg.	Muss	General	SB	draft		Shift2Rail			General	

AUCO	.10 R	<ul> <li>The coupler head shall restrict a strength from 2.000 kN for pressure forces without any plastic deformations.</li> <li>The coupler head shall restrict a strength from         <ul> <li>1.000 kN for draw forces</li> <li>2.000 kN for pressure forces without any plastic deformations (R<sub>P</sub> 0,2).</li> </ul> </li> <li>Note:         <ul> <li>This requirement shall forestalling a destruction of the casing of the coupler head in case of a bounce. A nominal breaking point for loads above 1500 kN should be located</li> </ul> </li> </ul>	Muss	General	SB	draft	 TIS working group		General
		in the drawbar.							
AUCO	.11 R	The wagon and the components of it shall fulfill TSI WAG Chapter 4.2.5 Environmental conditions and at least the temperature class T1 to T3 (45°C to -45°C).	, Muss	General	SB	draft	 Shift2Rail		General
AUCO	.12 0	R The automatic coupler shall fulfil the requirements of the energy absorption concept for the wagon, incl. Requirements from to TE22 of RID.	Option	Safety	SB	draft	 Shift2Rail		Safety
AUCO	.13 0	The automatic coupler shall not cause a climbing of car bodies or a derailment in case of collision up to 150 kN vertical force.	Option, gilt nur für RID- Fahrzeuge	Safety	SB	draft	 Shift2Rail		Safety
AUCO	.14 0	If the automatic coupler has been exposed to unacceptable load that may have caused a damage to the draw and buffing gear, this damage shall be obviously visible. Note: Accepting to experience on the private state of the private state sta	Option, Anzeige bei Ausschöpfung der max. zulässigen Belastung	Safety, maintainability	SB	draft	 Shift2Rail		Maintenance, Safety
		mechanical or automated solution be used							
AUCO	.15	2 Mechanical part							
AUCO	.16 R	E The design shall minimize the lateral and vertical efforts transmitted to the wagons, during curve negotiation.	Soll	General	SB	draft	 Shift2Rail		General
AUCO	.17 R	The vertical gathering range in straight tracks: the coupler shall to interact safe with up to 120 mm difference in height in their centre lines in minimum.	5 Muss	Experience of operators	SB	draft	 Shift2Rail		General
AUCO	.18 R	The horizontal gathering range of the coupler head will be in the range of 220 mm minimum at each side.	Muss	Experience of operators	SB	draft	 Shift2Rail		General
AUCO	.19 D	The distance between pivots and the overhang, in order to define the automatic coupler, should be calculated according to the formula given in UIC 530-1. <u>Note1:</u> Automatic couplings need only be connectable up to a track curve radius R ≥ 150 m. The requirements of UIC leaflet 522 also apply. <u>Note 2:</u> A graphical evaluation of the geometric requirements is permissible.	Soll	Experience of operators	SB	draft	 Shift2Rail	UIC 530-1	General
AUCO	.20 R	On straight tracks the coupler shall be able to couple automatically without manual intervention.	Muss	Experience of operators	SB	draft	 Shift2Rail		General

AUCO.21	DR	Vehicles with automatic coupler shall be coupled with manual intervention on transition curves between straight tracks and curves of a minimum radius of 150 m.	Muss	Experience of operators	SB	draft	 Shift2Rail	General
AUCO.22	DR	Vehicles with automatic coupler shall be able to couple with manual assistance on reverse curves of a minimum radius of 150m, with a 6 m intermediate straight track.	Muss	Experience of operators	SB	draft	 Shift2Rail	General
AUCO.23	DR	Vehicles with automatic coupler shall be able to couple without manual assistance on reverse curves of a minimum radius of 190m, without intermediate straight track.	Muss	Experience of operators	SB	draft	 Shift2Rail	General
AUCO.24	DR	Vehicles with automatic coupler shall allow running on ramps with a maximum inclination of 1/16 when coupled. The practicability of ferryboat ramps with a crease corner from at most 2°30' and a curve radius of 150 m is to be proved. <u>Note:</u> used only by inclinations in the coupled state; no coupling with these inclinations	Muss	Experience of operators	SB	draft	 Shift2Rail	General
AUCO.25	DR	a) in straight track between 2km/h and minimum 7km/h b) in curve radius 150m up to 5 km/h c) in s-bow 190m with 6 m straight track up to 5 km/h d) in s-bow 120 m with 20m straight track up to 5 km/h	Muss	Experience of operators		draft	 GATX	General
AUCO.26	RE	The automatic coupler shall be mechanical and pneumatical compatible with the coupling type "?". <u>Note:</u> The coupling must be compatible within the coupling family.	Muss	Notification to one type of automatic coupler gives a possibility to let turn freight car	SB	draft	 EuroSpec automatic coupler type 10	General
AUCO.27	RE	It shall be possible, by means of a manual operation at the lateral sides of the vehicle, to set the locking mechanism in a position in which the heads remain uncoupled until the vehicles separate and they are ready revert to the coupled position, after separation of the vehicles. It shall also be possible to keep the locking mechanism in the uncoupled position, to prevent undesired coupling, at the hump.	Muss	Safety, Operating conditions for the personnel, Experience of operators	SB	draft	 Shift2Rail	General
AUCO.28	RE	In event of failure of the horizontal or vertical support the coupler head shall not fall down and reach the track.	Muss	Safety	SB	draft	 EuroSpec automatic coupler	Safety
AUCO.29	RE	The main pin of the coupler head shall be lubricated/ greased.	Muss	Avoidance of self-lubricating bearings for the main pin because of bad experiences	SB	draft	 EuroSpec automatic coupler type 10	Maintenance

AUCO.30	DR	The coupling height is 1040 +5/-15 mm from the top of rail (TOR) according to TSI CR.	Muss	Usability	-	draft		Shift2Rail / TSI CR	TSI CR	General, Operation	
AUCO.31	RE	The automatic coupler shall work unrestricted and reliably under all operational conditions, e.g. rain, pollution, washing water, snow, ice and particularly in hot summers as well as in cold winters. These conditions shall not have any influence on the function of the coupler.	Muss	Reduce maintenance costs, reliability for life time	SB	draft		Shift2Rail		General	
AUCO.32	RE	Manual Uncoupling shall be possible without external tooling <del>.</del>	Muss	Use in case of malfunction, during maintenance, in case of emergency / rescue	SB	draft		Shift2Rail		Operation	
AUCO.33	RE	Manual uncoupling shall be possible by one person with a maximum manual tractive power (tension load) of 200 250 N on the coupler's handle.	Muss	Operating condition	SB	draft		EuroSpec automatic coupler type 10		Operation	
AUCO.34	RE	The manual uncoupling device shall be visible and accessible.	Muss	Operating conditions for the personnel, feasibility in maintenance	SB	draft		Shift2Rail		Operation	
AUCO.35	RE	The coupler head shall restrict a strength from -1.000 kN for draw forces -2.000 kN fo pressure forces without any plastic deformations- (R <sub>x</sub> 0,2). <u>Note:</u> In case of overload, the coupling head should not be damaged A nominal breaking point for loads above 1500 kN should be- lo used of the draw for for loads above 1500 kN should be-	Muss	S <del>afety, acc. to</del> <del>EN 12663-2,</del>	<u>58</u>	draft	_	Shift2Rail		Strength	
AUCO.36	RE	The automatic coupling shall have a long-term firmness for tractive powers and compressive forces of 270 290 kN in service. The actual force is only for prototype coupler. Real forces will be kind during 2020 (TIS value on long value is under construction) Note: Value comes from IGW testing, real this could be 450 kN in relation to the current maximum tractive forces of existing lokos. In the future, higher continuous loads are also possible if locomotives are operated to realise a higher tractive power (e.g.6-axle locos).	Muss	Safety		draft					
AUCO.37	RE	The automatic coupling shall have an "buffer position" for shunting operation.	Muss	Experience of operators						General	
AUCO.38	INFO	The "buffer position" allows disengagement and re- injection during manoeuvring without a new coupling process.	Info								
AUCO.39	RE	The change from the " buffer position " to the "ready for automatic coupling" position must be possible manually from outside the borderline of the vehicle.	Muss	Experience of operators						General	

AUCO.40	INFO	In case that the vehicle is not in any category acc. to EN 12663-2 the value of the pulling force the automatic coupler has to resist can be adapted to the vehicle strength.	Info	-	-	draft	 EuroSpec automatic coupler type 10	EN 12663-2	General
AUCO.41	RE	The casing of the automatic coupler shall resist a compressive force up to 2000 kN without causing any irreparable damage to the coupler head (e.g. cracks or plastic deformation).	Muss	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Strength
AUCO.42	RE	The pivoting range of the automatic coupler shall be +/- 11° in vertical and +/- 20° in horizontal direction.	Muss	Operating condition	SB	draft	 EuroSpec automatic coupler type 10		Operation
AUCO.43	RE	The automatic coupler shall allow the train to operate on slope connections with a radius according to TSI Infrastructure for vertical curves.	Muss	Operating condition	SB	draft	 Shift2Rail		Operation
AUCO.44	RE	The automatic coupler shall have a centring device.	Muss	Improvement of the ease of use and the reliability of the coupling process	SB	draft	 Shift2Rail		Operation
AUCO.45	RE	The horizontal support of the automatic coupler shall operate mechanically.	Muss	Use of a reliable design solution	SB	draft	 Shift2Rail		Operation
AUCO.46	RE	The automatic coupling shall be designed in such a way that it can be used either with cross-beam support or with strut support.	Muss	Use of a reliable design solution	SB	draft	TIS working group		General
AUCO.47	RE	The mechanical connecting elements, horizontal and vertical support of the automatic coupler and its fastening elements shall withstand the operating load during its lifetime./ between 2 heavy maintenance levels. (Note: lifetime 30 years)	Muss	Experience of DB: plastic deformations on two different coupler types	SB	draft	 Shift2Rail		General
AUCO.48	INFC	For wagons with automatic couplers it shall be possible to drive on rail curves with R =75 m iln the coupled state.	Info	Experience of operators	SB	draft	 TIS working group		General
AUCO.49	RE	The automatic coupler shall stay stable in the uncoupled condition. Appearing relative movements may not lead to the destructions in the vehicle structure	Muss	Safety; to ensure a defined position of the coupler (head)	SB	draft	 Shift2Rail		Operation
AUCO.50	DR	When using the automatic coupler, connected vehicles shall be able to run through curves with radii $r \ge 120$ m without any restrictions.	Muss	To ensure smooth operation on curves with small radii	SB	draft	 Shift2Rail		Operation
AUCO.51	DR	When using the automatic coupler, connected vehicles shall be able to run through curves with radii r ≥ 100m without any restrictions.	Muss	to ensure smooth operation on curves with small radii	SB	draft	 Shift2Rail		Operation

AUCO.52	INFO	When using the automatic coupler, connected vehicles shall be able to run through S-curves with radii $r \ge 150$ m with 6 m intermediate track without any restrictions (according to EN 13803 Kap.6.12, Tab.19)	Info	European standard (EN 13803)	SB	draft		Shift2Rail	EN 13803-2	Operation
AUCO.53	INFO	Track conditions are defined in the TSI Infrastructure chapter "Interfaces with the rolling stock subsystems" too.	Info	-	-					-
AUCO.173	RE	For the application of automatic couplers, derailment safety according to EN 15839 shall be demonstrated for each vehicle.	Muss	running safety	SB	draft		TIS working group		Safety
AUCO.54	OR	The automatic coupler shall be equipped with an automatically operated uncoupling device. Note: An automatic uncoupling device is mandatory for DAC 5. Nevertheless, the space provided for this purpose must also be taken into constructional consideration in the case of previous versions.	Option bis DAK 4, ab DAK 5 Muss	Improvement of the ease of use when uncoupling	SB	draft		Shift2Rail		Operation
AUCO.55	OR	If there is an uncoupling device: The uncoupling device may be pneumatic or an electrical device.	Option bis DAK 4, ab DAK 5 Muss				under review	EuroSpec automatic coupler type 10		
AUCO.174	RE	If the uncoupling device is a pneumatical devicew, in according to TSI WAG Annex C9 it's not allowed to take the pressure (air) from the main pipe.		Safety	SB	draft		TSI WAG		Safety
AUCO.56	OR	Uncoupling shall be possible from any active drivers cab.	Option bis DAK 4, ab DAK 5 Muss	Safety	SB	draft		EuroSpec automatic coupler type 10		Operation
AUCO.57	OR	The active driver cab might not be near to the coupler involved into the uncoupling process.	Option bis DAK 4, ab DAK 5 Muss	-	-					-
AUCO.58	OR	If the uncoupling device is not in action it shall be in an neutral situation (ready to couple position).	Option bis DAK 4, ab DAK 5 Muss	Experience of operators	-	draft		Shift2Rail		-
AUCO.59	INFO	The active driver cab shall only be located where coupling or uncoupling is going to be executed (local to the coupler involved).	Info bis DAC 4, Muss ab DAC 5)	Safety	SB	draft		EuroSpec automatic coupler type 10		Operation
AUCO.60	OR	The active driver cab is located near to the coupler involved in the uncoupling process.	Option bis DAK 4, ab DAK 5 Muss	-	-					-

AUCO.61	OR	Locos only Automatic uncoupling shall only be possible when the	Option	Safety, experience of	SB	draft	 Shift2Rail	Operation, Safety	
		following conditions occur:		operators					
		-The brake pipe (BP) pressure is lower than 3,5 bar.							
		and -The operator has activated the automatic/manual							
		uncoupling signal/mechanism.							
		Note:							
		Besides, the logic for the speed recognition does not lie on							
		the wagon, only on the locomotive							
AUCO.62	RE	During the uncoupling process the uncoupling device of the two connected couplers shall work simultaneous.	Muss	Experience of the operators	SB	draft	 Shift2Rail	Operation	
		· · · · · · · · · · · · · · · · · · ·		(bad					
				experiences					
				coupling					
				system)			-		
AUCO.63	OR	The contractor shall provide the time required for the replacement of the coupler head including the electrical	Option	Maintenance	SB	draft	 EuroSpec	Maintenance	
		connections by 2 persons.					coupler		
		The contractor chall provide the time required for the	Muss	Maintonanaa	C D	du a fé	type 10	Maintonanaa	
AUCO.64	RE	replacement of the coupler head by 2 persons.	Muss	costs		urait	 automatic	Maintenance	
							coupler		
AUCO (5		The replacement of a coupler head shall be possible within	Muss	Maintenance	SB	draft	 type 10	Maintenance	
AUCO.65	KE	60 min by 2 persons.	Muss	costs	30	urait	 automatic	Maintenance	
							coupler		
AUCO 66	RF	The necessary tools, resources and devices for the	Muss	Maintenance	SB	draft	 type 10 Shift2Rail	Maintenance	
1000.00		maintenance and replacement of the automatic coupler or		costs					
		parts of it (including the electrical coupler) shall be agreed							
AUCO.67	INFO	The use of special tools for maintenance and replacement	Info	-	-				
		of the automatic coupler or parts of it shall be avoided.	Muss	Maintonanaa	C D	du a fé	ChittaDail	Maintonanaa	
AUCO.68	RE	replacement of the automatic coupler or parts of it is	Muss	costs	50	urait	 ShiitzRali	Maintenance	
		required the customer shall be provided with the detailed							
		information. This document informs about the operation							
		values are acceptable (e. g. system technical threshold							
		value) and how the calibration of the special tools has to							
AUCO.69	RE	Related to the ordered wagon types or wagon series the	Muss	Maintenance	SB	draft	 Shift2Rail	Maintenance	
		collar, shall be the same for all couplers regarding		0313					
		dimensions or diameters.					-		
AUCO.70	OR	ine replacement of an uncoupling device shall be possible within 60 min by 1 person.	Option	Maintenance,	SB	draft	 EuroSpec automatic	Maintenance	
				the operators			coupler		
		The events also had a set of the	Muss	Malata		4.6	type 10	Matte	
AUCO.71	RE	I ne contractor shall provide drawings necessary for maintenance, a maintenance manual / file that includes all	Muss	planning	SB	draft	 EuroSpec automatic	Maintenance	
		required actions for the maintenance of the coupler.		,			coupler		
						1	type 10		

AUCO.72	RE	The contractor shall provide the time required for the maintenance and the different maintenance steps of the automatic coupler (including electrical and data connections).	Nuss	Maintenance planning	SB	draft	 EuroSpec automatic coupler type 10		Maintenance
AUCO.73	RE	The automatic coupler shall reach a reliability of "Z" %, based on the operating time between the manufacturing and the first complete overhaul or between two complete overhauls. <u>Note:</u>	Muss	Maintenance costs	SB	draft	 Shift2Rail		Operation, Reliability
		The verification of the achieved percentage regarding							
AUCO.74	RE	The automatic coupler shall reach a reliability in the way that it will reach an operational reliability level of "Y" breakdowns per million km. <u>Note:</u> "Y" and the verification of the achieved reliability level (e. g. minor, major, significant) has to be defined and	Muss	Maintenance costs	SB	draft	 EuroSpec automatic coupler type 10		Operation, Reliability
11100		harmonised with the customer.	Muse	Destructions of	CD	alu a fa	Ch:HOD -: I		Malatanaa
AUCO.75	RE	head, draw and buffing gear, pivot anchor) shall be connected with connecting elements to be solved simply (e.g. screws and nuts).	Muss	Reduction of work during maintenance	28	draft	 Shift2Rail		Maintenance
AUCO.76	OR	The automatic coupler, especially the coupler head, shall be equipped with a top protection against rain, washing water, windscreen cleaning agents, <del>or</del> snow and ice.	Option	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Operation
AUCO.77	RE	The greasing of automatic coupler parts shall be possible without dismounting any main parts, e.g. coupler head, draw bar, electrical coupler, draw and buffing gear, pivot anchor, centring device. The greasing intervall shall be 6 years.	Soll	Experience of operators	SB	draft	 Shift2Rail		Maintenance
AUCO.78	RE	The coupler head, coupler shank (draw bar), draw and buffing gear, electric coupler, centring device and connecting elements shall be indelibly marked with the supplier's brand, the date of manufacturing and the serial	Muss	Experience of operators	SB	draft	 Shift2Rail		Maintenance
AUCO.79	RE	The system for manual uncoupling shall work without malfunction or destruction of any parts - except for wearing parts, e. g. cable pull - during the whole life time of the coupler/ between 2 heavy maintenances.	Muss	Experience of operators	SB	draft	 Shift2Rail		Maintenance
AUCO.80	RE	The manual uncoupling system shall withstand an operating force of at least 2000 N without any measurable plastic deformation during its whole life time. The direction of the force should be in the same direction as the uncoupling system is operated. The application of the force should be where the hand of the operator activates the uncoupling system.	Muss	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Maintenance
AUCO.81		3 Energy absorption system							
AUCO.82	RE	The draw bar (draw and buffing gear) shall be equipped	Muss	Experience of	SB	draft	 Shift2Rail		Damage
		with a reversible elastic element for energy absorption.		operators					prevention
AUCO.83	RE	The elastic energy absorption system shall endure a life cycle test analogous to EN 15551, Chapter 5.5 and Annex C. <u>Note</u> The values given in EN 15551 stand for a buffer and must be doubled with regard to the requirements for an	Muss	Experience of operators	SB	draft	 Shift2Rail	EN 15551	Reliability

				1	1					7
AUCO.84	INFO	The wear or leakages (oil, air) of the elastic system can imply a drop of the energy absorption of the coupler in reversible dynamic conditions.	Info	-	-				-	
AUCO.85	OR	The automatic coupler shall be equipped with a system (e.g. sensors, measurement of leakage, maintenance detection) that indicates when the system is no longer able to absorb enough energy in dynamic conditions. The manufacturer shall define and describe this kind of	Option	Innovation	SB	draft	 Shift2Rail		Maintenance, Safety	-
AUCO.86	INFO	An energy absorption of 80 % of the elastic system in new condition is considered to be the limit. A value below this level is not appropriate to keep the elastic system in	Info	-	-	draft			-	
AUCO.87	OR	The reversible elastic system shall detect and show whether it is still working after is has been exposed to an impact or crash without dismantling any parts of the elastic system.	Option	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Maintenance, Safety	
AUCO.88	INFO	In coupled condition the interaction between traction spring and compression spring has to avoid any increase of tension force in the couplers when the train is braked and	Info	-	-	draft	 Shift2Rail		-	
AUCO.89	RE	The reveribele elastic element should absorb energy during the coupling up to 8 km/h with the pushing 90 t against 80 t without every damage to the automatic coupler (and to the vehicle).	Muss	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Strength, Damage prevention	-
AUCO.90	RE	For locos only The elastic system shall be designed in the way that the deceleration (inside the drivers cab) does not exceed 2 g for coupling up to 7 km/h.	Muss	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Strength, Damage prevention, Safety	
AUCO.91	RE	During coupling up to 12 km/h or maximum force of 2.000 kN when pushing 90 t against 80 t without any damage on the automatic coupler (and to the vehicle). <b>Note:</b> In case of an accident or the application of a force greater than 2000 kN, an irreversible element shall protect the coupling head and the vehicle from damage. EN 12663 shall be observed. The position of the irreversible element may be between the coupling head and the draw and buffing equipment.	Muss	Experience of operators	SB	draft	 Shift2Rail		Strength, Damage prevention	
AUCO.92	RE	The reversible and irreversible energy absorption system shall be able in the way that the deceleration (inside the cab) does not exceed 3 g for coupling up to 12 km/h or a maximum force of 2.000 kN.	Muss	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Strength, Damage prevention, Safety	
AUCO.93	INFO	The irreversible energy absorption element shall be replaced after a collision or heavy shunt.	Info	-	-				-	
AUCO.94	OR	The automatic coupler shall have a visible indicator that shows that the irreversible energy absorption element has been triggered.	Option	Experience of operators	SB	draft	 Shift2Rail		Maintenance, Safety	
AUCO.95	OR	The driver shall be warned if any irreversible energy absorption element has been used.	Option	Innovation	SB	draft	 Shift2Rail	 	Safety	
AUCO.96		4 Pneumatic connections of the automatic coupler								

AUCO.97	RE	The automatic coupler shall be pneumatically compatible with the coupling type "Y"".	Muss	To offer interoperabilit y between different vehicles, to reduce number of different adaptors:	SB	draft			Operation	
AUCO.98	INFO	Regarding other coupler types further information has to be provided by the operator.	Info	-	-	draft	 EuroSpec automatic coupler type 10		-	
AUCO.99	RE	The pneumatic connection of the automatic coupler shall be done automatically after or simultaneously to the mechanical connection.	Muss	Experience of operators	SB	draft	 Śhift2Rail		Safety	
AUCO.100	RE	The disconnection of the pneumatic connection shall be done automatically before or simultaneously to the mechanical uncoupling.	Muss	Experience of operators	SB	draft	 Shift2Rail		Safety	
AUCO.101	RE	If the automatic coupler is unintentionally disconnected, an emergency braking of the train shall be initiated.	Muss	General, Safety	SB	draft	 EuroSpec automatic coupler type 10		Safety	
AUCO.102	RE	The diameter of the pipe lines inside the automatic coupler shall be 5/4 ".	Muss	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10	EN 1601	9 Safety	
AUCO.103	RE	The brake pipe valve shall be directly mechanically activated by working of the mechanical coupling device of	Muss		SB	draft	 Shift2Rail		Safety	
AUCO.104	RE	When not in use, the air pipes must be protected against the penetration of dirt and humidity.	Muss	Experience of operators	SB	draft	TIS working group		Safety	
AUCO.105		5 Electrical and data connections of the automatic		-	-				-	
AUCO.106	RE	The electrical coupler shall have the protection class IP 54/55 (during coupled and uncoupled condition).	Muss	Acc. to EN 60529 no rain water is allowed to reach the	SB	draft	 EuroSpec automatic coupler type 10		Reliability, Safety	
AUCO.107	RE	The electrical coupler shall fulfil the requirements according to EN 50124-1 regarding clearances and creepage distances for equipment.	Muss	Acc. to EN 50124-1	SB	draft	 EuroSpec automatic coupler type 10	EN 50124	4-1 Reliability, Safety	
AUCO.108	RE	Single contacts of the electrical coupler shall be replacable from the front without removing or replacing the complete electrical coupler.	Muss	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Maintenance	
AUCO.109	RE	The electrical contacts of the automatic coupler shall ensure the connection of control signal lines, data bus lines, lines for transmitting signals and voltage between coupled vehicles and between the train and the loco(s). <u>Note:</u> Actually the voltage is to be limited to 110 V with a current of 50 amperes.	Muss	Safety, experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Operation, Safety	
AUCO.110	INFO	The signal transfer between the couplings can also be realised as a WiFi, NFC or via bluetooth.	Info							

				1-			r	1 1		
AUCO.111	RE	The mechanical, pneumatic and electrical connections shall be made within a period of 10 seconds.	Muss	Experience of operators	SB	draft		EuroSpec automatic	Operation	
								coupler type 10		
AUCO.112	RE	The electrical coupling shall be made within a certain	Muss	Experience of	SB	draft		EuroSpec	Operation	
		mechanical and pneumatic coupling has been made.		operators				coupler		
AUCO.113	INFO	The maximum time expended for these connections does	Info	-	-	draft		type 10	-	
AUCO 114	RF	The mechanical and pneumatic uncoupling shall be made	Muss	Experience of	SB	draft		EuroSpec		
		within a certain period of time (2 to 6 seconds), after a		operators				automatic		
		complete electrical uncoupling has been made.						type 10		
AUCO.115	RE	The connection and separation of the mechanical and	Muss	Experience of	SB	draft		EuroSpec	Operation	
		additional activities by the operating personnel).		operators				coupler		
		If the electrical/ data counter is senarate from the	Ontion	-	-	draft		type 10		
AUCO.110		mechanical coupler:	Option			uran		automatic		
		The electrical coupler shall automatically move to the back						coupler		
		automatic uncoupling device.						() pe 10		
		Alternative: The electric connecting component should go								
		after separation to a parking position.	Muss	F	CD	alu a fi		<b>F</b>	Malatananaa	
AUCO.117	RE	The electrical coupler shall have a "mechanical isolation	Muss	experience of operators	SB	draft		automatic	Maintenance	
		switch".						coupler		
AUCO.118	INFO	Locos only:	Info	-	-			type 10	-	
		The "mechanical isolation switch" is used for the manual								
		activated by the maintenance personnel.								
AUCO.119	OR	Only for electrical coupler separate from the mechanical coupler:	Option	Experience of	SB	draft		EuroSpec	Maintenance	
		If the "mechanical isolation switch" of the electrical coupler		operatore				coupler		
		is used (activation of the switch) the electrical coupler shall stay steady and shall not automatically move.						type 10		
		If the "mechanical isolation switch" of the electrical coupler								
		coupler shall stay in the current position as well (no								
AUCO 120		automatic movement).	Ontion	Experience of	CD	draft		EuroSpoo	Maintonanco	
AUCO.120		mechanical coupler:	Option	operators	30	uran		automatic	Maintenance	
		If the "mechanical isolation switch" of the electrical coupler						coupler		
		possibility to be moved manually.								
AUCO.121	RE	Locos only: The position or the state of the "mechanical isolation	Muss (Locos only)	Safety, experience of	SB	draft		EuroSpec automatic	Maintenance	
		switch" shall be visible to the maintenance personal		operators				coupler		
AUCO.122	INFO	The electrical coupler shall have an "electrical isolation	Info	-	-	draft		type 10	Operation	
		switch" that is used by the operating personnel.								
		Note: The electrical isolation switch is part of the delivery								
		of the vehicles manufacturer.								

AUCO.123	INFO	The "electrical isolation switch" is used for the electrical separation or isolation of the electrical coupler and is activated by the operating personnel.	Info	-	-	draft			-
AUCO.124	INFO	The "electrical isolation switch" for the operating personnel shall be located in a cab (adjacent to the coupler).	Info	-	-	draft			Operation
		of the vehicles manufacturer .							
AUCO.125	OR	The "electrical isolation switch" shall be operated from the active cab and shall switch off all current to the (corresponding) electrical coupler, including cables and connectors.	Option	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Operation
AUCO.126	RE	The "electrical isolation switch" shall offer the possibility to be locked and secured against unauthorised unlocking.	Muss	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Operation
AUCO.127	INFO	Further information about the number of contacts, the contact arrangement and the contact assignments shall be given in the specification module "Vehicle control system".	Info	-	-				-
AUCO.128	INFO	The train manufacturer shall provide the coupler manufacturer with all required information regarding the number and arrangement of contacts that have to be connected by the electrical coupler.	Info	-	-				-
AUCO.129	INFO	Further information about environmental conditions shall be given in the specification module "System specification" of the technical requirement specification.	Info	-	-	draft			-
AUCO.130	RE	The electrical contacts of the automatic coupler shall not be damaged during normal operation (when electrical coupling and/or uncoupling is successful as well as unsuccessful).	Muss	Experience of operators	SB	draft	 EuroSpec automatic coupler type 10		Maintenance, Operation
AUCO.131	RE	Checking and adjusting the position of the electrical coupler shall be required a maximum once at 6 years.	Muss	Experience of operators; reduction of work in maintenance	SB	draft	 EuroSpec automatic coupler type 10		Maintenance
AUCO.132	RE	The train bus bar (main power line between wagons and between train and loco) shall be coupled by the automatic coupler.	Muss	Innovation	SB	draft	 EuroSpec automatic coupler type 10		General
AUCO.133	RE	The electrical coupler shall be equipped with a protection of the pins against influences from outside (when the electrical coupler is not connected to another one).	Muss	Experience of operators; protection against humidity and dirt	SB	draft	 EuroSpec automatic coupler type 10		Soiling prevention
AUCO.134	RE	The potential interferences between the seals and the protection or between the seals and the body of the electrical coupler shall not generate any disturbance to the protection.	Muss	Bad experiences of operaters with covers that did not open or close properly because of problems with	SB	draft	 EuroSpec automatic coupler type 10		Operation
AUCO.135	RE	In case of a collision the protection shall prevent the electrical coupler from making short circuits.	Muss	Safety	SB	draft	 EuroSpec automatic coupler type 10		Safety

AUCO.136	RE	The contact resistance for energy transmission and ep	Muss	Reduction of	SB	draft				Operation
		brake must be less than 5 mOhms (7 mOhms including		power losses						
		reserve over lifetime).		at the coupler						
		Info: This includes the transition from the line of one		interfaces to						
		wagon through the counting and into the line of the		nrovide						
		following wagon		cufficient						
11100 105		The second second second for data to second second by large	N4	power over	CD	-l 6				Onentien
AUCO.137	RE	The contact resistance for data transmission must be less	Muss	Provide	SB	draft				Operation
		than 25 mOnms.		reliable signal						
		Info: This includes the transition from the line of one		transfer						
		wagon through the coupling and into the line of the		between two						
AUCO.138	RE	The insulation resistance between the electrical power	Muss	Provide	SB	draft				Operation
		contacts (110 V level) and coupler ground shall be at least		sufficient						
		800 MOhms.		isolation						
				resistance in						
				the whole						
				train						
				configuration						
AUCO 120	RF	The dielectric withstand test is to be conducted with 1500	Muss	Fulfillment of	SB	draft				Operation
AUC0.139		V according to EN 50155 (for operating voltages of 72 V to		EN 50155	00					operation
		125 V DC)								
AUCO 140		6 Safety								
AUCO 141	DE	The entire automatic coupler shall be earthed according to	Muss	Safaty	C D	draft		EuroSpoc	1110 522	Safoty
AUCO.141	RE	The entire automatic coupler shall be earlied according to	Muss	Salety	30	urait		Luiospec		Salety
		010 555.						automatic		
								couplei		
	-				<u> </u>	1.6		type 10		0.(.)
AUCO.142	RE	The occurrence of an unintentional mechanical	Muss	Safety and	SB	draft		EuroSpec		Safety
		disconnection without working of the brake on the hauled		risk analyses				automatic		
		train or / and the leading train shall not exceed 10 <sup>9</sup> per						coupler		
		operation hour.						type 10		
AUCO.143	RF	The occurrence of unintentional uncoupling shall not	Muss	Safety and	SB	draft		EuroSpec		Safety
10000110		exceed 10 <sup>-6</sup> per operating hour		risk analyses				automatic		,
		execcu io per operanig nour.		,				coupler		
								type 10		
AUCO 144		7 General requirements (part of the system						type 10		
		requirement specification)								
AUCO.145	RE	Each attachment point at the coupler where there is made	Muss	Maintainability	SB	draft		EuroSpec		Maintenance
		a mechanical connection (e.g. by bolts or screws) shall not		í í				automatic		
		be secured by a locking plate.						coupler		
								type 10		
AUCO 146	RF	Attachment points at couplers shall be secured e. g. by the	Muss	-	-	draft		., pc 10		-
1000.140		use of self-locking nuts								
AUCO 147	RF	The coupler and the spring device should operate for a	Muss	Maintainahility	SB	draft		FuroSpec		Maintenance
AUCO.14/		neriod of at least six years without requiring maintonance	11035		55	uran		automatic		maintenance
		This does not include ordinary day to day maintenance.						automatic		
		This does not include ordinary day-to-day maintenance to						coupler		
		the came out ouring service nours, such as greasing and						type 10		
		cleaning of the parts subject to particularly heavy wear.								
		V % (o.g. 08%) at least of the DAK must be readined with	Muco	Enviromont	CD	draft		TIC		
AUCU.148	KE	[A % (e.g.: 90%) at least of the DAK must be produced with	IMUSS	Environment	SD	urait		115 Warking		
								working		
	1			1		1	1	group	1	

AUCO 149	INFO	It will happen during a long period, because of the	Info						
inceoin b		workshops capacities and availabilities. This means that	-						
		we will start to replace first the couplers of block trains.							
		and of the locomotives							
		But what about the isolated railcars, and what about the							
		engines owned by the private sidings which move the							
		railcars at our client's plants?							
		It could be interesting also to ask for the development of a							
		specific tool able to connect (in particular conditions of							
AUCO.150		8 Hybrid coupler (special case)							
AUCO 151	INFO	Hybrid couplings (Hyco) represent a special design of the	Info	basic	SB	draft	 TIS		
		couplings.		requirement			working		
							group		
AUCO.152	INFO	They allow coupling not only to the automatic coupling as	Info	basic	SB	draft	 TIS		
		well to the conventional UIC coupling system.		requirement			working		
		1 0 ,					group		
AUCO.153	INFO	Hybrid couplings should only be used on locomotives.	Info	basic	SB	draft	 TIS		
	_			requirement			working		
							group		
AUCO.154	RE	The hybrid coupling shall, in the 'automatic coupling'	Muss	Safety	SB	draft	 TIS		
		position, fulfil all the requirements of the automatic					working		
		coupling, in particular the requirements for safety, passable					group		
		track geometry and strength.							
AUCO.155	RE	If the connective element is a draw hook	Muss	Safety	SB	draft	 TIS	EN 15566	
		The draw hook of the hybrid coupling for connection to the					working		
		UIC coupling shall fulfil the requirements for the 1.5 MN					group		
		draw hook in accordance with EN 15566.							
AUCO.156	RE	If the connective element is a screw coupler	Muss	Safety	SB	draft	 TIS	EN 15566	
		The screw coupler of the hybrid coupling for connection to					working		
		the UIC coupling shall fulfil the requirements for the 1.5					group		
		MN screw coupler in accordance with EN 15566.							4
AUCO.157	RE	The used buffers shall fulfil the requirements for category	Muss	Safety	SB	draft	 TIS	EN 15551	
		"C" buffers for freight wagons according to EN 15551.					working		
	- DE	The hold south south a shirt to be assumed as the	Muss	Catata	<u> </u>	-l., - ft	group		4
AUCO.158	RE	The hybrid coupling must be able to be mounted on/ into	MUSS	Safety,	SB	draft	 115		
		at water a share of the water a structure		Experience of			working		
AUCO 150		For now locomotives, the hybrid coupling must be	Muss	Safoty	C D	draft	TIC		-
AUC0.159		designed so that it is compatible with the installation space	Muss	Experience of	50	uran	 working		
		in accordance with LIIC leaflet 530-1 annex 4a, is decisive		operators			groun		
		for the design of the coupling in westeuropean countries		operators			Broup		
		In easteuropean countries annexe 6a and 6 b are							
		recommended.							
AUCO 160	DE	If the automatic coupling function is not required, the	Muss	Safety	SB	draft	 TIS	-	
AUCU.100		automatic coupling head shall not affect the function of the	11033	Experience of	50	urait	 working		
		IIIC coupling point		operators			groun		
AUCO 161	RF	If the automatic coupling function is not required, the	Muss	Safety	SB	draft	 TIS		-
		automatic coupling shall be secured against unintentional		Experience of	02		working		
		movement.		operators			group		
AUCO.162	RF	If the automatic coupling function is not in use, the spaces	Muss	Safety	SB	draft	 TIS		
1.000.102		to be respected according to the clearance gauge			-		working		
		according Chapter 4.2.2 of TSI CR/ EN 16839 shall not be					group		
AUCO.163	RE	The hybrid coupling shall be designed in such way that.	Muss	Experience of	SB	draft	 TIS		
		when it is used or when changing from automatic coupling		operators			working		
		mode to UIC coupling mode, the physical strain on the					group		
		operator is kept to a minimum (e.g. 150170 N).							

AUCO.164	OR	The change between the operating modes may take place via pneumatic, electrical or mechanical activation.	Option	Experience of operators		draft	 TIS working group			
AUCO.165	RE	If components to be carried on the vehicle are required for mode change, their single weight shall not exceed 25 kg.	Muss	Compliance with work safety and health regulations	SB	draft	 TIS working group			
AUCO.166	RE	The mode change does not require any tools (e.g. wrench, pincer, hammer).	Muss	Experience of operators	SB	draft	 TIS working group			
AUCO.167	RE	When using the UIC coupling point the pneumatic connection between the vehicles shall be made manually.	Muss	Experience of operators	SB	draft	 TIS working group			
AUCO.168	RE	The pneumatic connection between the vehicles with different coupling systems shall be made via a UIC/TSI compliant interface.	Muss	Experience of operators	SB	draft	 TIS working group			
AUCO.169	RE	When the UIC coupling point use the electrical and signal connections between the vehicles this shall be made manually.	Muss	Experience of operators	SB	draft	 TIS working group			
AUCO.170	OR	Tthe connection for the electrical signal transmission between the rolling stock shall be made via a UIC/TSI compliant interface.	Option	Experience of operators	SB	draft	 TIS working group			

#### Tests with the digital automatic coupling (DAC) by the operator V1.00

Sequence no.	Name of test	Requirement type	Tests performed	Requirements covered	Results expected	Results achieved, by manufacture	Free text field	additional comments
		Te	sts on single wagon					
1	Weighing the coupling to determine its net	Mandatory			Total weight of a coupling $\leq$ 380 kg			
	mass	l í			0 10 0			
				AUCO.9				
2	Coupling of the automatic coupling against	Mandatory	Coupling test for the automatic		A coupling can be achieved without			
	a UIC coupling point (side buffers and	l í	coupling with a vehicle with buffers		an special adapter			
	screw coupling) without the use of		and screw coupling without the					
	adapters		use of adapters					
	(special test for the hybrid coupler of		·					
2.1.	Creating buffer contact on a straight track	Mandatory						
	(only locos with dual mode coupler)							
3	Counling tests with counlings of the same	Mandatory						All tests from chanter 3 and 4
-	type under the following conditions (before	indiridation y						shall be carried out in the
	the tests hegin the countings are							vehicle combination full-full
	equipped with sensors to record							empty-full and empty-empty
	compressive and tensile forces distances							empty fun and empty empty.
	and acceleration measurements)							
31	On straight track							
311	At $v = 2 \text{ km/h}$	Mandatory						
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
312	with $v = 4 \text{ km/h}$	Mandatory						
5.1.2	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
313	with v= 6 km/h	Mandatory						
5.1.5	Wagon omnty	Mandatory						
	Wagon fully loaded	Mandatory						
314	with v= 8 km/h	Mandatory						
J.1.4	Wagon omnty	Mandatory						
	Wagon fully loaded	Mandatory						
	with v= 10 km/b	Mandatory						
		Manualory						
315								
5.1.5								
	Wagon empty	Mandatory						Attention: the max sneed
	wagon empty	Mandatory						depended from maximum force
								level of 2 000 kN for which
								wagons are designed. Based on
								requirement for standard huffer
								test (max_3_000kN/wagon end)
								force shall not become higher
								than 3 000 kN
1								
1								
	Wagon 50% loaded	Mandatory						
1	5	,						
1								
1								
1								
	Wagon fully loaded	Mandatory						
	- /	l <sup>′</sup>						

Sequence no.	Name of test	Requirement type	Tests performed	Requirements covered	Results expected	Results achieved, by manufacture	Free text field	additional comments
3.1.6	At v= 12 km/h (simulated impact running down from the hump without a rail brake)	Mandatory <sup>–</sup>						Attention: the max. speed depended from maximum force level of 2.000 kN for which wagons are designed. Based on requirement for standard buffer test (max. 3.000kN/wagon end) force shall not become higher than 3.000 kN
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory	Coupling tests with identical coupling types, 5 test attempts per requirement	AUCO 10 to 36	Coupling provides a reliable connection under the defined track geometries, boundary conditions and speeds, including electrical			
3.2	In curved track R 190 m				connection of the contacts.			
3.2.1	At v= 2 km/h	Mandatory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.2.2	At v= 4 km/h	Mandatory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.2.3	with v= 6 km/n	Mandatory						
	Wagon empty	Mandatory						
	wagon luiy loaded	Mandatory						
3.2.4	At v= 8 km/h	Mandatory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.3	of the couplings permitted							
331	At v= 2 km/h	Mandatory						
5.5.1	Wagon empty	Mandatory						
<b> </b>	Wagon fully loaded	Mandatory						
3.3.2	with v= 4 km/h	Mandatory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.3.3	At v= 6 km/h	Mandatory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.3.4	At v= 8 km/h	Mandatory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.4	S-curve 190 m							
3.4.1	with v= 2 km/h	Mandatory	1		l			

Sequence no.	Name of test	Requirement type	Tests performed	Requirements covered	Results expected	Results achieved, by manufacture	Free text field	additional comments
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.4.2	At v= 4 km/h	Mandatory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.5	S-curve 150 m, manual alignment of the couplings permitted							
3.5.1	At v= 2 km/h	Mandatory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.5.2	At v= 4 km/h	Mandatory						
	wagon empty	Mandatory						
	wagon fully loaded	Mandatory						
3.6	straight, manual alignment of the							
361	$\Delta t v = 2 \text{ km/h}$	Mandatory						
9.0.1	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
3.6.2	At v= 4 km/h	Mandatory						
2.01	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
		,						
4	Running on various track geometries in the coupled state							
4.1	Passage through curved track 190 m							
4.1	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
4.2	Passage through curved track 190 m, pushed							
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
4.3	Passage through curved track 150 m,							
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
4.4	Passage through curved track 150 m, pushed							
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
4.5	Passage through S-curve 190 m, pulled							
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
4.6	Passage through S-curve 190 m, pushed							
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
4.7	Passage through S-curve 150 m, pulled							
<b>├</b> ───┤	wagon empty	Mandatory						
( )	wagori Tuliy Ioaded	mandatory			Able to run on the described track			
4.8	Wassage inrough S-curve 150 m, pushed	Mandaton	Running on the track geometries in		geometries without restriction, as			
<b>├</b> ───┤	Wagon fully loaded	Mandatory	the coupled state with identical	AUCO 10 - 21	both a pulled and pushed unit.			
	Passage through S-curve 150 m with 6 m	manualory	coupling types, 5 test attempts per	AUCU 10 to 36				
4.9	intermediate straight, pulled	Mandaton	requirement		Electrical connection is stable and uninterrupted under all conditions.			
<b>├</b> ──┤	Wagon fully loaded	Mandatory						

Sequence no.	Name of test	Requirement type	Tests performed	Requirements covered	Results expected	Results achieved, by manufacture	Free text field	additional comments
4.10	Passage through S-curve 150 m with 6 m							
	intermediate straight, pushed	Mandaton						
	Wagon fully loaded	Mandatory						
4.11	Passage through curved track 100 m.	Mandatory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
4 12	Passage through curved track 100 m,							
	pushed							
	Wagon empty	Mandatory						
( 12	Wagon fully loaded	Mandatory						
4.15.	Passage inrough curve 75 m	Mandatory						
4.14	Navigating a ramp with 2 50 pitch, pulled	Manualory						
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
4.15	Navigating a ramp with 2°30' pitch,	Mandatory						
	Wagon empty	Mandatory						
<u> </u>	Wagon fully loaded	Mandatory						
	Navigating a ramp with 2°30' pitch and	Mandatory						
4.16	overlapping with a 150 m curved track,							
	pulled							
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
6 17	Navigating a ramp with 2°30' pitch and	Mandatory						
4.17	pushed							
	Wagon empty	Mandatory						
	Wagon fully loaded	Mandatory						
5.	Coupling under defined climatic conditions		-					
5.1	Coupling in cold conditions	Mandatory	4					
5.1.1.	Coupling at 0°C, dry	Mandatory	4					
513	Coupling at -10°C covered in snow dry	Mandatory	4					
5.1.5.	Coupling at -10°C, covered in snow, dry	Mandatory	1		Coupling reliably creates a			
5.1.4.	coupling iced, layer thickness 5 mm	,	Proof of ability to couple under	AUCO 11	connection under the defined			
5.1.5.	Coupling at -20°C, covered in snow, dry	Mandatory	attempts per requirement	A0C0 11	attempt, ncluding electrical			
5.1.6.	Coupling at -20°C, covered in snow,	Mandatory			connection of the contacts.			
5 1 12	Coupling iced, layer inickness 5 mm	Mandatory	4					
J.1.12.	Coupling at -25 C, covered in snow, dry	Mandatory	1					
5.1.7.	coupling iced, layer thickness 5 mm							
5.2.	Coupling at 40°C, dry	Mandatory	1					
6.	Measurement of the response speed when	Mandatory	Proof of the brake response time in	AUCO 102	No reduction in the brake response			
	braking/releasing brakes		accordance with UIC 544-1 and		times when braking and releasing			
			with EN 1/198 5 tost attempts		brakes			
			each					
L								
~	Departing the operation from of the	Mandatarri	Droof of compliance with the		The encoding release force of the			
/.	necolding the operating force of the	manualory	nermissible operating force 5 test	AUCU 33	manual uncoupling equipment			
	inanaai uncoupiing equipment		attempts each		remains within the range 150			
					170 N			

Sequence no.	Name of test	Requirement type	Tests performed	Requirements covered	Results expected	Results achieved, by manufacture	Free text field	additional comments
8.	Impact tests to determine the strength of the coupling head and the forces and accelerations occurring in the vehicle	Mandatory	Proof of required strength against plastic deformation of the coupling		Complies with the specified requirements			
8.1.	Determination of the maximum forces occurring in the coupling head at an impact speed of 8 km/h and 90t against	Mandatory	head and the acceleration that occurs in the vehicle as well as the forces acting on the vehicle-		Force occurring in the coupling head ≤ 2000 kN			
8.2.	Determination of the maximum forces occurring in the connection between the coupling head and the base frame at an impact speed of 8 km/h and 90t against 80t	Mandatory	coupling interface, 5 test attempts per requirement, Measurement of the acceleration impact on the electric coupler (test concept chapter 2.3.4).	KOCO JUZ 10 JZ	Force occurring at the interface to the base frame ≤ 2000 kN			
9.	electrical connections	Determination		Contact				
2.1	(chapter 2.2.1 in testing concept)	of contact resistance of all coupler contacts in coupled state.		resistance below the specified value (refer to the testing concept and / or manufacturer specification)				
9.2	Isolation resistance (chapter 2.2.6 in testing concept)	Determination of isolation resistance of all coupler contacts to the coupler ground.		Isolation resistance above the specified value (refer to the testing concept and / or manufacturer specification)				
9.3	Data transfer between wagons (chapter 2.2.3 in testing concept)	Determination of communication capability of the coupler, including the hard-wired CAN communiation, powerline communication and radio communication.		Successful data transfer according to the specifications.		These tests will be defined and executed by OWITA.		
9.4	Power transfer along the train (chapter 2.2.2)	successful power transfer along several wagons (up to 12)		refer to testing specification				
9.5	Data transfer along the train (chapter 2.2.4)	Test of successful data transfer along several wagons (up to 12)		refer to testing specification		These tests will be defined and executed by OWITA.		
10	Toot diamontling/according fits an all and	Mandata	Toot diamontling/secondly of the		Coupling bood one service of the 2			
10.	head by 2 persons	mandatory	coupling head by 2 persons in a workshop	AUCO 52 to 62	persons within 60 minutes, no special tools required (except lifting equipment).			
11.	Test dismantling/assembly of the automatic coupling equipment by 1 person in 60 minutes (if available)	Mandatory	Test dismantling/assembly of the automatic coupling equipment by 1 person in 60 minutes in a workshop	AUCO 62	Replacement of automatic decoupling equipment by 1 person in 60 minutes, no special tools required.			

Sequence no.	Name of test	Requirement type	Tests performed	Requirements covered	Results expected	Results achieved, by manufacture	Free text field	additional comments
11.1	Test replacement of the electric contacts (chapter 2.2.10 in the electric testing concept)	Test exchange of single contacts from the front without removing / replacing the complete electric coupler.	AUCO. 108	Test exchange of single contacts from the front using only the manufacturer- specified tools and successfully executed within the time specified by the manufacturer.				
12.	Final examination of the coupling	Mandatory						
12.1.	Measurement of the couplings	Mandatory			No dimensional changes outside the manufacturing tolerance range			
12.1.1.	Inspection of the main dimensions of the coupling	Mandatory	Separate protocols must be prod	luced for these	No dimensional changes outside the manufacturing tolerance range			
12.1.2.	Inspection of the functional dimensions	Mandatory	measurements based on the man	ufacturer's data.	No dimensional changes outside the manufacturing tolerance range			
12.1.3.	Recording the actual characteristic curve for the damping unit	Mandatory			Permissible deviation from the target characteristic curve within			
12.2.	Inspection for damage visible to the naked eye, e.g. breaks, plastic deformations, fractures, cracks in the visible area of the	Mandatory			•			
12.3.	Step-by-step disassembly of the couplings into the main subassemblies with inspection for damage visible to the naked eye, e.g. breaks, plastic deformation, fractures, cracks, abrasions together with manufacturer.	Mandatory	Separate protocols Guidelines for the assessment completion of the test mu Professional supervision can be	must be produced f and admissibility of st be agreed separa provided by DB Sy	for these inspections. f the recorded properties after the ately with the manufacturer. stemtechnik GmbH, TT.TVE 31(2).			
12.3.1.	Measurement of components from 12.2.	Mandatory	1					
12.3.2.	ND1 inspection of parts in the load path from 8.2 (optional)	Mandatory						
12.8	Test lubrication during service	Mandatory	Test lubrication of all possible lubrication possitions by 1 people.		Wear elements can be lubricated by 1 people within 2 minutes without any special tools.			

# Tests with the digital automatic coupling (DAC) by the operator V1.00

Sequence no.	Name of test	Requirement type	Tests performed	Requirements covered	Results expected	Achieved results	Free text field
		Tests	on the demonstrator train				
		· · ·					
1.	Coupling procedures with couplings of the same type under the following conditions (before the tests begin, the couplings are equipped with sensors to record compressive and tensile forces, distances and acceleration measurements, couplings at the coupling point are of the same type)	Mandatory					
1.1.	On straight track	Mandatory					
1.1.1.	At v= 2 km/h	Mandatory					
1.1.2.	At v= 4 km/h	Mandatory					
1.1.3.	At v= 6 km/h	Mandatory					
1.1.4.	At v= 8 km/h	Mandatory					
1.2.	In curved track R 190 m	Mandatory					
1.2.1.	At $v = 2 \text{ km/h}$	Mandatory	Coupling tests with couplings of	AUCO 17,	Counting provides a reliable		
1.2.2.	At $v = 4 \text{ km/h}$	Mandatory	the same type, 5 test attempts per	AUCO 19 to 32,	connection under the defined track		
1.3.	Curved track R 150 m, prior manual	Mandatory	requirement, train constellation	AUCO 103 to	geometries, boundary conditions		
	alignment of the couplings permitted		with mixed loads	105, AUCO 118	and speeds		
1.3.1.	At v= 2 km/h	Mandatory		to 123			
1.3.2.	At v= 4 km/h	Mandatory					
1.4.	S-curve 190 m	Mandatory					
1.4.1.	At v= 2 km/h	Mandatory					
1.4.2.	At v= 4 km/h	Mandatory					
1.5.	S-curve 150 m, prior manual alignment of the couplings permitted	Mandatory					
151	At $v = 2 \text{ km/b}$	Mandatory					
1.5.1.	At $v = 2 \text{ km/h}$	Mandatory					
1.J.2.	S-curve 150 m with 6 m intermediate	Mandatory					
1.6.	straight, manual alignment of the	Mandatory					
	couplings permitted, coupling in the						
1.6.1.	At v= 2 km/h	Mandatory	1				
1.6.2.	At v= 4 km/h	Mandatory					
2.	Running on various track geometries as a train	Mandatory					
2.1.	Passage through curved track 190 m,	Mandatory					
2.2.	Passage through curved track 190 m,	Mandatory					
2.3.	Passage through curved track 150 m	Mandatory					
2.4	Passage through curved track 150 m,	Mandatory					
2.4.	pushed	Mandatoria					
2.5.	Passage ulrough S-curve 190 m, pulled	Mandatory					
2.0.	Passage ullough S-curve 190 m, pushed	Mandatory					
2./.	Fassage unough Scurve 150 m, pulled	Mandatory					
2.ð.	Passage through S-curve 150 m with 6 m	Mandatory	Running on track geometries as a				
2.9.	intermediate straight, pulled	manualui y	train, 5 test attempts per	AUCO 16 to 23, AUCO37 and 38	Able to run on the described track geometries without restriction as		
2.10.	Passage through S-curve 150 m with 6 m intermediate straight, pushed	Mandatory	requirement, train constellation with mixed loads	AUCO 43 to 46	both a pulled and pushed unit		
2.11.	Passage through curved track 100 m.	Mandatory					
2.12.	Passage through curved track 100 m,	Mandatory					

2.17	Neulestine e serve uitle 2020 sitele sulled	Manualata	7	1		
2.14.	ivavigating a ramp with 3°20' pitch, pulled	Mandatory	4			 
2.15.	Navigating a ramp with 3°20' pitch, pushed	Mandatory	4			
2.16.	Navigating a ramp with 3°20' pitch and overlapping with a 120 m curved track,	Mandatory				
2.17.	Navigating a ramp with 3°20' pitch and overlapping with a 120 m curved track, pushed	Mandatory				
2.18.	Creating buffer contact on a straight track	Mandatory				
3.	Coupling under defined real climatic	Mandatory				
3.1	Coupling in cold conditions	Mandatory				
311	Coupling at 0°C dry	Mandatory	1			
212	Coupling at 0°C, crray	Mandatory	4			
2.1.2.	Coupling at 0 C, spray	Mandatory	-			
5.1.0.	Coupling at 10°C, covered in show, dry	Mandatory	4			
3.1.7.	coupling at -10°C, covered in snow, coupling iced, layer thickness 5 mm	Mandatory	Proof of ability to couple under			
3.1.10.	Coupling at -20°C, covered in snow, dry	Mandatory	defined climatic conditions 5 tests			
3.1.11.	Coupling at -20°C, covered in snow, coupling iced, layer thickness 5 mm	Mandatory	per requirement, testing performed	AUCO 10 and	Coupling reliably creates a connection under the defined	
3.1.12.	Coupling at -25°C, covered in snow, dry	Mandatory	conditions Deviations from	AUCO 29	climatic conditions at the first	
3.1.13.	Coupling at -25°C, covered in snow, coupling iced, layer thickness 5 mm	Mandatory	prescribed temperatures and conditions must be recorded.		attempt	
			4			
2.2	Coupling in warm conditions	Mandatory	4			
<u> </u>		Mandatory	4			
5.4.9.	Coupling at 40 C, dry	Manualory	4			
5.4.10.	Coupling at 45°C, ury	Taiget				
4.	Measurement of the response speed when braking/releasing brakes	Mandatory				
4.1.	Measurement of the brake wave propogation speed when applying/releasing brakes within a wagon group with the same type of coupling.	Mandatory	Proof of the brake response time in accordance with UIC 544-1 and brake wave propogation time in accordance with EN 14198, 5 test	AUCO 106	Compliance with the specified brake wave propogation/response times	
4.2.	Measurement of the brake wave propogation speed when applying/releasing brakes throughout the train. The measurement must be taken at the last wagon ir the train. The length of the train must be specified (in	Mandatory	auempts each			
5.	Recording the operating force of the manual decoupling equipment This test can be combined with the coupling tests from Sequence no. 1.	Mandatory	Proof of compliance with the permissible operating force, 5 tests each	AUCO 31	The specified release force of the manual decoupling equipment remains within the range 150 170 N	

6.	Impact tests to determine the strength of the coupling head and the forces and accelerations occurring in the vehicle	Mandatory			Complies with the specified requirements	
6.1.	Determination of the maximum forces occurring in the coupling head at an impact speed of 8 km/h between a loaded wagon group and a non-braked wagon group standing on the track.	Mandatory			Force occurring in the coupling head ≤ 2000 kN	
6.2.	Determination of the maximum forces occurring in the connection between the coupling head and the base frame at an impact speed of 8 km/h between a loaded wagon group and a non-braked wagon group standing on the track.	Mandatory	Proof of required strength against plastic deformation of the coupling head and the acceleration that		Force occurring at the interface to the base frame ≤ 1500 kN	
6.3.	Determination of the maximum accelerations occurring in the vehicle at an impact speed of 8km/h and 90t against 80	Mandatory	occurs in the vehicle as well as the forces acting on the vehicle- coupling interface, 5 test attempts	AUCO 92 to 96	Acceleration ≤ 2g	
6.4.	Determination of the maximum forces occurring in the coupling head at an impact speed of 12 km/h between a loaded wagon group and a non-braked wagon group standing on the track.	Mandatory	per requirement, tests to be performed in accordance with DIN EN 15551:2017, Table E3 Test No. 3 to 5 from Categories B and C		Force occurring in the coupling head ≤ 2000 kN	
6.5.	Determination of the maximum forces occurring in the connection between the coupling head and the base frame at an impact speed of 12 km/h between a fully loaded wagon group and a non-braked fully loaded wagon group standing on the	Mandatory			Force occurring at the interface to the base frame ≤ 1500 kN	
6.6.	Determination of the maximum accelerations occurring in the vehicle at an impact speed of 12km/h between a fully loaded wagon group and a non-braked fully loaded wagon group standing on the track	Mandatory			Acceleration ≤ 3g	
	Free tests					
7.						
7.1	Überwachung der Kräfte an der Kupplung und an der Schnittstelle Kupplung/ Fahrzeugstruktur im Fahrbetrieb					
7.2	Kuppeln/ Entkuppeln der Fahrzeuge im Rahmen des Fahrzeugumlaufs, Zielstellung sind 20 Kuppel/ Entkuppelvorgänge je Kuppelstelle und Woche					

8.	Test dismantling (after testing of the demonstrator train has been completed)					
8.1.	Test dismantling/assembly of the coupling head by 2 persons		Test dismantling/assembly of the coupling head by 2 persons	AUCO 52 to 63	Coupling head can be replaced by 2 persons within 60 minutes, no special tools required (except lifting equipment).	
8.2.	Test dismantling/assembly of the automatic coupling equipment by 1 person in 60 minutes (if available)		Test dismantling/assembly of the automatic coupling equipment by 1 person in 60 minutes	AUCO 63	Replacement of automatic decoupling equipment by 1 person in 60 minutes, no special tools required.	
9.	Final examination of the coupling					
9.1.	Inspection for damage visible to the naked eye, e.g. breaks, plastic deformations, fractures, cracks in the visible area of the couplings	Mandatory	Separate protocols	must be produced	for these inspections.	
9.2.	Step-by-step disassembly of the couplings into the individual parts with inspection for damage visible to the naked eye, e.g. breaks, plastic deformation, fractures, cracks, abrasions together with manufacturer	Mandatory	Guidelines for the assessment of re test must be agr If the dismantling and evaluation of an authorised workshop of DB AG (e > factory in Paderborn) Professional super	corded properties a eed separately with the couplings can .g. for Voith Produ must be commissi vision can be prov	and damage after the completion of the the manufacturer. not be performed by the manufacturer, ct> factory in Kassel, SA3 derivative oned to perform the work. Ided by TT.TVE 31(2).	
9.2.1.	Measurement of components from 8.2.	Mandatory				
9.2.2.	NDT inspection of parts in the load path from 8.2 (optional)	Mandatory				
9.2.3.	Recording the actual characteristic curve for the damping unit	Mandatory			Permissible deviation from the target characteristic curve within the range of ± -20%	

# **Test instructions**

Functional testing of an automatic coupling for rail freight transport at an ambient temperature of +40°C and in increments down to -25°C

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10.08.2019

Approved:

Descriptors: AK-Güt, DAC

# 1. Objectives

- Functional testing of the coupling head (with e-contact coupling) and air coupling of the automatic coupling for freight trains at ambient temperatures from +40°C to -25°C

- Prove the mechanical, pneumatic and electrical automatic and manual decoupling functions operate

# 2. Test setup

- Two automatic couplings, or at least the corresponding coupling heads, are set up in a climate test
- Selected contacts of the e-contact coupling are connected to the electrical continuity test
- The pneumatic air supply is connected to the air coupling

# 3. Method

# 3.1. <u>Tests at +40°C</u>

- The couplings are ready to be coupled

Temperatur	Duration of heating
+40°C	at least 4 h
- T	he couplings must be coupled and decoupled 6 consecutive times
- A e-con	fter coupling, a continuity test must be performed on the air coupling and the selected contacts in the tact coupling
- C	heck the display of the coupling state
- T	he decoupling must be performed 5x automatically and 1x manually
	3.1.1. Tests at +40°C ambient temperature and 90% humidity
- T	he couplings are ready to be coupled
- E	nrichment of the air humidity to 90%

Temperatur	Humidity overlay
+40°C	at least 1 h

-	Immediately before and during the mechanical, pneumatic and electrical coupling test, the coupling is
a s	prinkled with water using spray nozzles

The couplings must be coupled and decoupled 6 consecutive times

- After coupling, a continuity test must be performed on the air coupling and the selected contacts in the e-contact coupling

- Check the display of the coupling state

- The decoupling must be performed 5x automatically and 1x manually. The sprinkler must be turned off before manual decoupling.

After this phase of the test, the humidity in the climate test stand must be reduced until the couplings and their components are dry. Then incrementally reduce the temperature in the climatic test stand to -25°C for tests 3.2 to

## 3.2. Tests at 0°C

The couplings are ready to be coupled

Temperatur	Duration of cooling
0°C	at least 4 h

- Using a spray bottle, create a layer of ice (approx. 1 mm thick) on the coupling, repair flaws in this layer caused by the coupling tests

- The couplings must be coupled and decoupled 6 consecutive times

e-cont	ter coupling, a continuity test must be performed on the air coupling and the selected contacts in the act coupling
- Cł	neck the display of the coupling state
- Th	e decoupling must be performed 5x automatically and 1x manually
	3.3. <u>Tests at -5°C</u>
- Th	e couplings are ready to be coupled
emperatur	Duration of cooling
-5°C	at least 2 h
- Us layer c	ing a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this aused by the coupling tests
- Us layer c - Th	ing a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this aused by the coupling tests e couplings must be coupled and decoupled 6 consecutive times
- Us layer c - Th - Af e-cont	ting a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this aused by the coupling tests the couplings must be coupled and decoupled 6 consecutive times ter coupling, a continuity test must be performed on the air coupling and the selected contacts in the act coupling
- Us layer c - Th - Af e-cont	ing a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this aused by the coupling tests are couplings must be coupled and decoupled 6 consecutive times ter coupling, a continuity test must be performed on the air coupling and the selected contacts in the act coupling
- Us layer c - Th - Af e-cont	aing a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this baused by the coupling tests re couplings must be coupled and decoupled 6 consecutive times ter coupling, a continuity test must be performed on the air coupling and the selected contacts in the fact coupling
- Us layer c - Th - Af e-cont - Ch	ing a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this aused by the coupling tests the couplings must be coupled and decoupled 6 consecutive times ter coupling, a continuity test must be performed on the air coupling and the selected contacts in the act coupling
- Us layer c - Th - Af e-cont - Ch - Th	ing a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this aused by the coupling tests e couplings must be coupled and decoupled 6 consecutive times ter coupling, a continuity test must be performed on the air coupling and the selected contacts in the act coupling neck the display of the coupling state e decoupling must be performed 5x automatically and 1x manually
- Us layer c - Th - Af e-cont - Ch - Th	ing a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this aused by the coupling tests e couplings must be coupled and decoupled 6 consecutive times ter coupling, a continuity test must be performed on the air coupling and the selected contacts in the act coupling teck the display of the coupling state e decoupling must be performed 5x automatically and 1x manually

L

The couplings	are	ready	to be	coupled
The couplings	are	ready		coupica

- Th	e couplings are ready to be coupled
mneratur	Duration of cooling
-10°C	at least 2 h
- Us layer c	ing a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this aused by the coupling tests
- Th	e couplings must be coupled and decoupled 6 consecutive times
- Aft e-conta	ter coupling, a continuity test must be performed on the air coupling and the selected contacts in the act coupling
- Ch	neck the display of the coupling state
- Th	e decoupling must be performed 5x automatically and 1x manually
	3.5. <u>Tests at -15°C</u>
- Th	e couplings are ready to be coupled
mperatur	Duration of cooling

- Using a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this layer caused by the coupling tests

- The couplings must be coupled and decoupled 6 consecutive times

- After coupling, a continuity test must be performed on the air coupling and the selected contacts in the e-contact coupling

- Check the display of the coupling state

The decoupling must be performed 5x automatically and 1x manually

#### 3.6. Tests at -20°C

The couplings are ready to be coupled

Temperatur	Duration of cooling
-20°C	at least 2 h

- Using a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this layer caused by the coupling tests

- The couplings must be coupled and decoupled 6 consecutive times

- After coupling, a continuity test must be performed on the air coupling and the selected contacts in the e-contact coupling

- Check the display of the coupling state
  - The decoupling must be performed 5x automatically and 1x manually

## 3.7. Tests at -25°C

The couplings are ready to be coupled

Temperatur	Duration of cooling
-25°C	at least 2 h

- Using a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this layer caused by the coupling tests

The couplings must be coupled and decoupled 6 consecutive times

- After coupling, a continuity test must be performed on the air coupling and the selected contacts in the e-contact coupling

- Check the display of the coupling state

- The decoupling must be performed 5x automatically and 1x manually

# 3.6. <u>Tests at -20°C</u>

The couplings are ready to be coupled

Temperatur	Duration of cooling
-20°C	at least 2 h
- Us layer c	sing a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this caused by the coupling tests
- Th	ne couplings must be coupled and decoupled 6 consecutive times
- Af e-cont	ter coupling, a continuity test must be performed on the air coupling and the selected contacts in the act coupling
- Cł	neck the display of the coupling state
- Th	ne decoupling must be performed 5x automatically and 1x manually
	3.7. <u>Tests at -25°C</u>
- Th	ne couplings are ready to be coupled
Temperatur	Duration of cooling
-25°C	at least 2 h

- Using a spray bottle, create a layer of ice (approx. 3-5 mm thick) on the coupling, repair flaws in this layer caused by the coupling tests

- The couplings must be coupled and decoupled 6 consecutive times

- After coupling, a continuity test must be performed on the air coupling and the selected contacts in the e-contact coupling

- Check the display of the coupling state
- The decoupling must be performed 5x automatically and 1x manually

# Tests with digital automatic coupling (DAC)

In the digital automatic coupling (DAC) project, automatic freight car couplings are provided for test purposes by the currently designated manufacturers for testing on individual cars. The vehicle types serving as test carriers are to be selected and named. At present, a total of 4 couplings per manufacturer is assumed for single wagon testing.

The couplings are to be tested for compliance with technical requirements under almost real conditions in order to test the functionality of each type of coupling. For the time being it is assumed that the mechanical and pneumatic functionality will be tested. On the automatic couplings there are E contact couplings which are used for tests of the interaction of the mechanical component and the E contact coupling and for continuity measurements as well as functional tests.

Conditions linked to track geometries are tested in real driving tests. Conditions that are linked to climatic requirements are tested both in a climatic chamber and later at the demonstrator train.

For the single wagon test, freight cars are equipped with the automatic coupling and a train is assembled from these cars. Each of the freight cars, with exception of the end cars, will be equipped with an automatic coupler from the participating manufacturers at both ends of the car. The couplings are mounted in such a way that similar couplings are opposite each other and can be coupled.

At one end of the end cars there is an automatic coupling, while the UIC interface (side buffer, screw coupling and draw hook) is retained at the other end of the car. This guarantees the possibility of coupling to the traction units.

The attached test requirements are derived from the requirements and bundled, because partly several specifications from the SPEC AK Güt EUROPA can be tested in the same way. The reference to the specifications is made by a corresponding reference to the specification number (e.g. AUCO.XYZ). AUCO stands for "automatic coupler".

#### Structure of the test overview

The test overview is structured in tabular form and divided into tests on the single wagon.

The test concept for demonstrator train is under development.

current	test	test	covered	bided	reached	result	free text
no.	designation	execution	requirements	result	result	complied	field
Tests on single wagon / demonstrator train							

Running number indicates the sequential number of the test

Test name	names the test
test execution covered requirements	describes the test and, if necessary, the number of individual tests reference to the request number from the "SPEC AK Güt EUROPE Requirements (e.g. AUCO XYZ)
bided results	describe the bided result
reached result	describe the reached result of the automatic coupling
result complied	marked in the columns with
	✓ fully met

X for not fulfilled to be marked.

	If a requirement is only partially fulfilled, it shall be considered as "not fulfilled" in the assessment. The results achieved in the corresponding test(s) shall be documented.
Free text field	here insert further additions/ information
Tests on single wagons/ demonstrator train	serves to differentiate between single car test and test on the demonstrator train. However, the test requirements are listed in different documents.

### Test execution and period

#### Single car tests

According to the schedule of the DAC project, the single car tests will be carried in 2020.

For the tests, the vehicles are to be used both unloaded and fully loaded.

The test end for the single wagons is planned for the 1<sup>st</sup> quarter of 2021.

The couplers are to be tested with the vehicles, as far as possible, on tracks and in a climate chamber. The test period is planned for the 2nd and 3rd quarter of 2020, as the evaluation of the results is to be incorporated into the couplers which will be procured for the demonstrator train, if possible.

**Objective:** To prove the functionality of the DAC to potential operators

#### Construction of the test train

With the single wagon test the following basic conditions are to be considered:

- Test of coupling systems DAC type 4 (Schwab, 2x system "Scharfenberg"<sup>®</sup>, SA3) from four different manufacturers,
- with these four coupling systems DAC four same carriage groups by three freight cars in each case are to be equipped as follows (a total of twelve carriages),
- Carriages 1 in front: Screw coupling (because of incompatibility of the different coupling systems)
- Carriages 1 behind: DAC (of the respective manufacturer)
- Carriages 2 in front and behind: DAC
- Carriages 3 in front: DAC
- Carriages 3 behind: Screw coupling

#### Static tests

In the absence of a complete coverage of the test requirements by standards/regulations of technology, the following specifications are to be used for this purpose and tests are to be carried out by analogy:

- DIN EN 15551, Annex D
- UIC 522 (2) approval automatic coupling
- MB 523 technical conditions for automatic couplers
- UIC 530 (1) constructive measures underframe quality for automatic couplers

#### Dynamic tests

- DIN EN 15551, Annex E
- DIN EN 15566, Annex A
- MB 524 (1) Spring apparatus for automatic freight couplers 1978-01-01 incl. modification 1 to 6 d
- MB 530 (2) Freight wagon driving safety

During the dynamic tests, forces, accelerations and displacements occurring both at the coupling itself and at the interface between coupling and vehicle structure shall be recorded and documented.

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As a minimum, measuring points for the force measurement shall be provided:

- clutch lock
- coupling head casing/ housing
- connection coupling head housing coupling arm
- coupling clutch/ arm
- connection clutch arm spring mechanism / damping device
- connection of spring system/damping device to the vehicle structure.

As a minimum, measuring points for acceleration absorption shall be provided:

- coupling head
- connection coupling vehicle structure
- vehicle structure (underframe of the wagon)
- electric coupler

As a minimum, measuring points for distance measurements shall be provided:

- coupling clutch/ arm with regard to horizontal and vertical deflection
- spring mechanism/ damping device regards to maximum strokes in tension and shock direction
- electric coupler actuation (confirmity with allowed timespan for completed acutation)

#### **Tests on pneumatics / brakes**

The tests for the pneumatic connection and effectiveness of the brake are concerned both with maintaining the functionality and adherence to braking and release times. The form basis for carrying out the corresponding tests are:

- EN 14198
- EN 15355
- EN 15611
- UIC 540
- UIC 544 (1)

#### Tests on the E contact coupling

Freight wagons must be equipped with electric and electronic components. Single wagon tests will be executed on wagons which are part of the test train descibed above (four different types of automatic couplers, three wagon variants per coupler type). The electric interfaces of the provided automatic couplers shall comply with the requirements defined in the document "Requirements for the electrical contacts in the coupling" in its latest version.

Tests on the data communication shall be planned, executed and evaluated separate. They are not part of this document.

#### Testing overview single wagons

The following tests shall be carried out

1. Contact resistance per coupler interface

This test shall be executed in standstill on one coupler interface (two wagons), with the respective contacts being electrically isolated from the wagon circuits. See test specification sequence 9.1.

2. Insulation resistance of the power net

This test shall be executed on the power net (110 V circuit) on a wagon decoupled from other wagons, with all respective contacts of the power net being short-circuited, and the insulation resistance shall be measured against wagon ground. See test specification sequence 9.2.

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# 3. Contact formation during coupling

Target of this test is to show successful electric contact formation during coupling and stable electric contacts in the coupled state. The tests shall be executed in conjunction with the mechanical tests of the coupler, test specification sequences 3, 4, and 5.

During the tests at different temperatures (sequence 5), the requirement concerning the allowed timespan for completed acutation of the electric coupler shall be verified.

## 4. Field test of coupling shock

Test of coupling shock (accelerations in three dimension) on the e-coupler during coupling and operation. See test specification sequence 9.4.

## 5. Power transfer along the train

In this test, the transfer of electric power from the locomotive to the last freight car shall be shown in a configuration of all 12 cars (three cars for each of the four automatic coupler types). Power transfer shall take place via electric couplers, connection cables at the screw couplers and the wiring on the cars. See test specification sequence 9.5

## 6. Test of the electromagnetic compatibility

Test shall demonstrate compliance of the electric and electronic equipment of the freight car with admissible electromagnetic emission. During these tests, the power transfer along the train shall be working as well as data transmission, especially over the wireless channels.

## 7. Charging and discharging of the buffer battery

This test shall demonstrate the functionality of the buffer batteries on each car. It shall verify the ability to charge the batteries from the power net, maintain electric functionality upon disconnection and recharge the batteries upon recovery of the power supply. See test specification sequence 9.6.

#### 8. Replacement of electric contacts

This test shall verify that an exchange of single contacts in the electric coupler is possible with reasonable effort. Contacts shall be exchangeable from the front without removing or replacing the complete electric coupler. See test specification sequence 11.1.

## Coupling tests in different track geometries

The coupling tests shall be performed 5 times per test. The following vehicle combinations shall be selected:

- wagon fully loaded with wagon empty
- wagon fully loaded with wagon fully loaded
- wagon empty with wagon empty.

#### 1. Coupling on straight track

Both vehicles to be coupled are facing each other on a straight track. The distance between the couplings must be at least 2 m. Exceptions are coupling speeds above 4 km/h. Here the distance is to be selected so that the specified speed is reached when the couplings meet.

The passive-coupling vehicle stands free and is not braked; the active-coupling vehicle is pushed onto the passive-coupling vehicle at the specified speeds.

## 2. coupling in curves without an intermediate straight line

## a. Single curve

Both vehicles to be coupled are positioned in the track curve. The distance between the couplings must be at least 2 m. Exceptions are coupling speeds above 4 km/h. Here the distance shall be selected so that the specified speed is reached when the couplings meet.

The passive coupling vehicle is free standing and not braked, the active coupling vehicle is pushed onto the passive coupling vehicle at the specified speeds. The passive-coupling vehicle is positioned in such a way that the coupling plane is in the transition from the straight track to the curved track.

b. S- curve

Both vehicles to be coupled are positioned in the track curve. The distance between the couplings must be at least 2 m. Exceptions are coupling speeds above 4 km/h. In this case, the distance shall be selected so that the specified speed is reached when the couplings meet.

The passive coupling vehicle is free standing and not braked, the active coupling vehicle is pushed onto the passive coupling vehicle at the specified speeds.

The position of the coupler is to be selected so that it is in the transition from the track curve to the opposite curve.

## 3. coupling in S-curves with intermediate straight

Both vehicles to be coupled are positioned in the track curve. The distance between the couplings must be at least 2 m. Exceptions are coupling speeds above 4 km/h. Here the distance shall be selected so that the specified speed is reached when the couplings meet. The actively coupling vehicle is pushed on at the specified speed.

The passive coupling vehicle is positioned in such a way that the coupling positon is in the middle of the intermediate straight.

#### Impact tests

The impact tests are carried out to assess the behaviour of the vehicles at different impact speeds. These tests are carried out 5 times in all combinations of loading conditions. The aim is to absorb the forces occurring at the specified measuring points and to determine accelerations acting on the vehicle and the load from recorded force and travel data.

The overrun test at 12 km/h, which is intended to simulate a shunting impact with failed track brakes and non-existent brake shoes, is to be carried out as the last test series.

#### **Documentation of the results**

The performance and results of all tests shall be documented in the form of a report. The specific test conditions shall also be addressed.

The recorded data shall be clearly summarized and presented in a corresponding tabular form. Achieved minimum and maximum values shall be highlighted in different colors. In addition, the data shall be presented in graphical form over the entire test procedure.

The partners of the TIS working group "Project DAK" are to be included. These partners will accompany the tests, at least temporarily. A joint evaluation of the test results based of the documentation to be prepared is planned.

## Additional information

#### documentation to be provided by the manufacturer (minimum scope)

- Assembly instructions on the vehicle/interface definition (including details of relevant tightening torques)
- Operating instructions for the component, including permissible tensile and compressive forces (Rp0.2), minimum coupling speed, total weight, partial weights of the individual assemblies, designed swivel angles horizontally and vertically, designed spring deflections for compression and tension, design of energy absorption ...
- Maintenance documentation for service / maintenance in operation
- complete documentation of the product, including strength certificates (test protocols, alternatively FEM calculations, test certificates etc.) of the safety-relevant components lying in the force flow, material specifications, manufacturing certificates (e.g. welding design test part I and part II, approval certificates of the subcontractors, material certificates)

#### **Demonstrator Train (Preview)**

The demonstrator train is formed from 24 wagons. Besides, all wagons are equipped with the same coupling type. Aim position is to simulate a real operational service by this train. In the demonstrator train the same types of car are used, like in the single carriage test, however, on the suitable aim number increased, i.e., the number of the vehicles is doubled.

Aim position of the demonstrator train is also to be used in all European climate areas.

With the demonstrator train, experiments are carried out in circulation with the target to simulate a real service.

The "climate tests" under wintry conditions occur in winter, mainly in northern countries (e.g., Sweden).

During the European circulation coupling and uncoupling should be carried out. Aim position is to realise 10.000 coupling-/uncouplings.

Besides, the demonstrator train is to be equipped completely with measuring equipment and at every coupler place the following data are to be generated:

- Strength effect with coupling processes on the coupling head, coupling rod, damping equipment and interface coupling vehicle structure
- Recording accelerations in the named areas with the coupling-/uncoupling
- Recording active forces and accelerations under service

The recorded data are to be processed in tabular form. Besides, minimum values and maximum values are to be marked, in addition, in colour.

In addition, a graphic representation, in each case separate is to be generated from the data table for forces, accelerations and ways.

In parallel with recording the precalled identity values a test of the electric equipment and the data connections occurs. In addition there exists a separate description.

The electric tests on the demonstrator train shall demonstrate the reliability and availability of the electric coupler and the electric power supply including the buffer batteries. During the field tests with the demonstrator train, relevant electrical parameters will be monitored and recorded. The values are given in the test specification, chapter 2.3.2. Data shall be readily accessible, ideally via mobile connection with the traction vehicle.

In addition to the continuously measured values, recurring tests have been specified:

- Contact resistance per coupler interface
- Isolation resistance of the electric coupler
- Power transfer along the train
- Charging and discharging behavour of the buffer battery

Additional tests concerning the communication functionality and equipment are planned. They will be executed and realized separate.